

Structure-Property Relationships at Nafion Thin-film Interfaces: Thickness Effects on Hydration and Anisotropic Ion Transport

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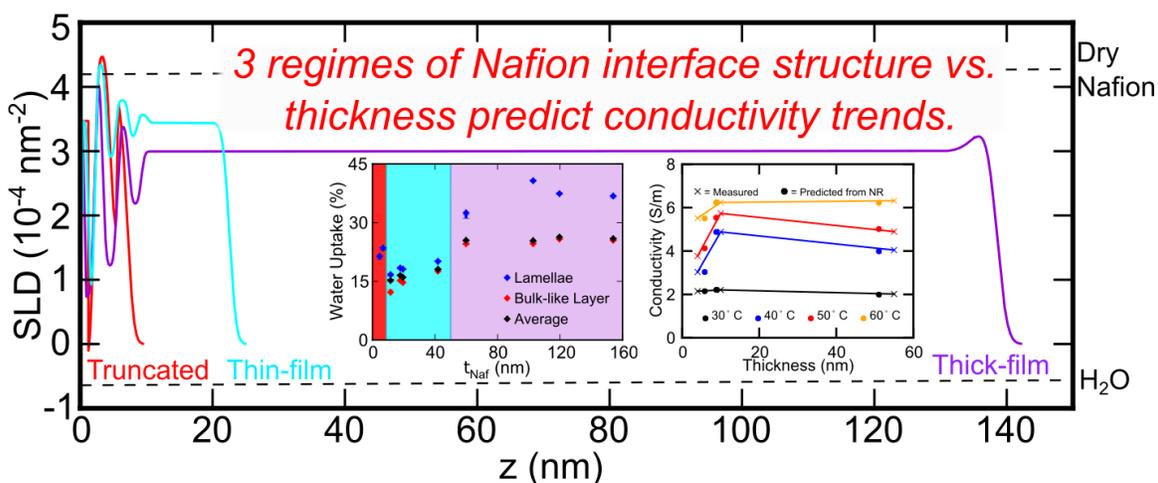
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Graphical Abstract



Research Highlights

- Neutron reflectometry of Nafion thin-films reveal three thickness regimes.
- Nafion depth profiles explain non-monotonic water uptake below 60 nm thickness.
- Phase segregation suppresses ion conductivity in Nafion near substrate interfaces.

Abstract

The effect of film thickness on water uptake and structure in ultra-thin Nafion is probed *via in situ* neutron reflectometry for a series of 10 films with thicknesses ranging from 5–153 nm. Observed interfacial lamellae are used to understand anomalous transport limitations in such films. Results show three distinct thickness regimes: (i) in the truncated regime (< 12 nm), the entire film consists of lamellae; (ii) in the thin-film regime (12–42 nm), a non-lamellar bulk-like layer forms between the lamellae and vapor; (iii) in the thick-film regime (≥ 60 nm), the bulk-like layer thickness exceeds the radius of gyration for thin-film Nafion. The water uptake in the sample varies non-monotonically with thickness, and can be ordered as: thin-film $<$ truncated $<$ thick-film. In the thin-film regime, the water uptake in the bulk-like layer and lamellae are equal, and both increase with thickness, except for a well-hydrated layer adjacent to the SiO₂ substrate. In the thick-film regime, the bulk-like layer water uptake equals that in macroscopic Nafion membranes, and is invariant with film thickness, while the lamellar water uptake greatly exceeds this. Composition depth profiles are used to predict the anisotropic ionic conductivities. These are fitted to previously published experimental results to demonstrate that the lamellar structure is required for accurate conductivity predictions. These results provide key insights to minimize transport losses in fuel cell catalyst layers.

Keywords

Nafion; Lamellae; confinement; PEM fuel cell; neutron reflectometry; ionic conductivity

1. Introduction

Due to its high ionic conductivity and chemo-thermo-mechanical stability, Nafion[®] is the state-of-the-art polymer electrolyte material for a range of electrochemical energy and separation devices, including polymer electrolyte membrane fuel cells (PEMFCs),^[1–8] batteries,^[9–12] water electrolyzers,^[13–16] and chemical sensors.^[17–21] Nafion's properties depend on the phase segregation between its two primary monomers: the hydrophobic Teflon-like backbone and the hydrophilic sulfonic-acid-terminated side chain. This segregation is highly sensitive to Nafion's thermo-chemical environment and material interfaces. Durable and efficient electrochemical devices, including PEMFCs and “beyond Li-ion” batteries such as Li-sulfur^[22–26] and Li-O₂,^[27–31] therefore

¹ Certain commercial equipment, instruments, materials, suppliers, or software are identified in this paper to foster understanding. Such identification does not imply recommendation or endorsement by the National Institute of Standards and Technology, nor does it imply that the materials or equipment identified are necessarily the best available for the purpose.

require understanding and control of Nafion's structural and functional properties. Such advances will also yield important insights for a broad class of related ionic copolymers.

For PEMFCs, much attention has focused on the polymer structure and structure-property relationships in bulk membranes.[32–52] Several models of the Nafion ionic domain morphology as a function of hydration have been fit to small angle scattering data. These include water-rich clusters[53] that evolve into filaments at higher humidity, [52,54] water cylinders in a polymer matrix,[46] or lamellae.[51,55] The inverse has also been proposed, with elongated polymer aggregates that bundle,[49] possibly into ribbon-like units.[50] Real space probes of Nafion morphology show that the water-rich domains are a three-dimensionally connected wire-like[48] or ribbon-like[32] network.

Only recently has attention turned to Nafion properties in the catalyst layer (CL), the heterogeneous region where limiting charge-transfer processes occur in PEMFCs (Figure 1a). Recent work suggests that high transport resistance in CL Nafion contributes to poor PEMFC performance with low Pt loading,[5,56–59] but the specific causes of these limitations are not fully understood.[58–60] Understanding is hindered by the complex CL nano-structure, where thin Nafion layers coat ionomer-flooded agglomerates of carbon-supported Pt catalyst nanoparticles, and by the complex influence of multiple material interfaces and operating conditions on the thin-film Nafion properties.

For these reasons, researchers increasingly use planar thin-films as a model system to obtain fundamental insight into CL Nafion properties. Results show deleterious changes in stiffness,[61] water uptake and mobility,[1,57,62–67] and conductivity[5,6,56,59,67–69] with decreasing film thickness below ca. 60 nm. Studies also show that water uptake is influenced by substrate interfaces,[1,57,63] thermal processing[56,59] and deposition method.[57] Some have observed an increase in water uptake for films thinner than roughly 20 nm, compared to those between 20 and 60 nm.[57,58] These studies provide valuable insights into thin-film Nafion, but the majority of them report the average sample properties. However, because transport is driven by *local* gradients in species free energy and is closely tied to Nafion structure, *in situ* depth-profiles are required to correlate thin film Nafion structure with the resulting transport properties.

We report here the thickness dependence of water uptake, interfacial ionic domain structure, and anisotropic ionic conductivity in ultrathin Nafion films (5–153 nm) on SiO₂

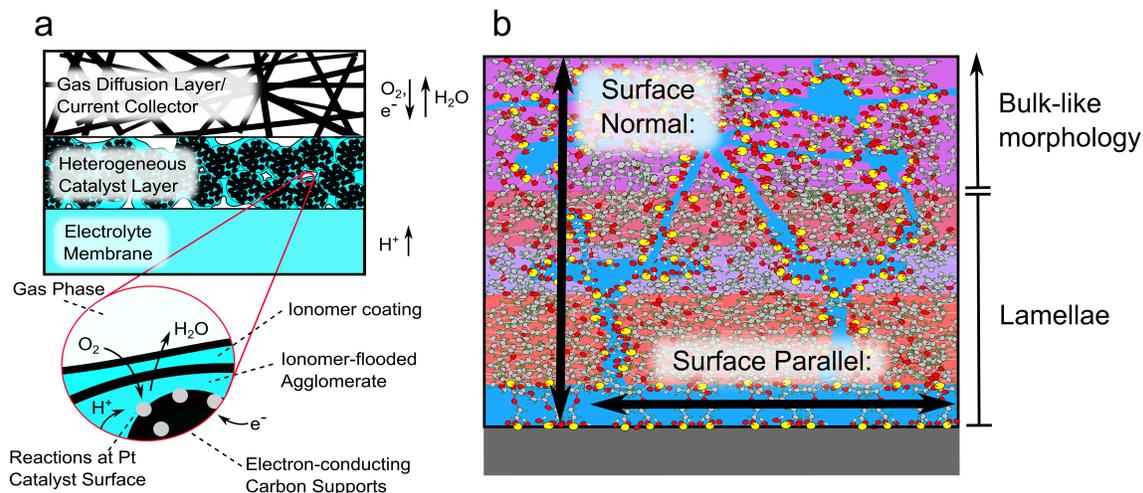


Figure 1. Illustration of PEMFC processes and structure. (a) Illustration of PEMFC catalyst layer limiting processes associated with the ionomer-coated flooded agglomerates, as highlighted in the call-out. (b) Schematic illustration of Nafion thin-film structure at a hydrophilic interface, including the sheet-like lamellae and well-mixed, bulk-like outer layer. Effective proton conductivities in this work are calculated in both the ‘surface normal’ and ‘surface parallel’ directions.

substrates, probed via *in situ* neutron reflectometry (NR). Reflectometry is a highly sensitive probe of planar structures in thin films,[70,71] and NR has been incredibly useful for probing Nafion—substrate interfaces.[62–65,72,73] Hydrophilic substrates such as SiO₂ have been discovered [63] to induce alternating water-rich and water-poor lamellae (i.e. extended planar features) at the Nafion-substrate interface[62–65] followed by a bulk-like layer of Nafion (Figure 1b). Previously, we used NR to quantify the phase segregation of Nafion moieties in these lamellae, and confirm that they are formed due to interactions between the side chain-terminating SO₃H groups and the SiO₂ substrate [64].

Results herein show that the water uptake in the bulk-like layer increases with increasing thickness for films with equivalent Nafion thickness $t_{\text{Naf}} < 60$ nm, but above 60 nm these layers have constant water uptake, similar to bulk 1100 equivalent weight (EW) Nafion ($\lambda = 10$). While the lamellae form due to substrate interactions, their structure and water uptake are influenced by the presence and water uptake of the bulk-like layer. Results also indicate that whole-sample averages represent an insufficient measure for inferring the Nafion water uptake. Calculating effective ionic conductivities for Nafion thin films from the NR profiles demonstrates how the Nafion thickness, interfacial morphology, and water uptake influence conductivity in the PEMFC CL.

Several factors motivate the use of the SiO₂ substrate here, rather than the technologically relevant Pt substrate: (i) the transport measurements against which we fit our conductivity models were done on SiO₂ substrates (presumably to reduce the effects

of a conducting Pt layer on those measurements); (ii) Nafion forms similar structures on Pt substrates, but with fewer lamellae.[72–75] However, greater sensitivity to the lamellae is achieved in the multi-lamellar system on SiO₂, which may yield insights into similar (but less easily measured) structures on Pt; (iii) measurement on SiO₂ allows facile comparison to the many previous studies with SiO₂ substrates,[45,56,57,59,62–64,67,76,77]; and (iv) previous NR work demonstrates that Nafion interfacial lamellae are promoted both by substrate hydrophilicity and by specific chemical interactions.[63,65] Chemical treatments to improve Pt dispersion and PEMFC CL durability may therefore generate multi-lamellar Nafion structures.[75,78–80] Additional studies will be required to extend these results to a broader range of substrates.

2. Materials and Methods

2.1 Sample Fabrication and NR Measurement – A detailed explanation of the NR data collection and fitting process is given in our previous publication,[64] but briefly: Nafion thin-films, ranging from thickness from 5 nm to 153 nm, were deposited onto 5 mm thick, polished Si wafers (with native SiO₂ intact). The Si wafers were cleaned *via* detergent scrub and UV-ozone treatment prior to Nafion deposition, and Nafion films were deposited *via* spin coating, from a commercial dispersion diluted with HPLC-grade ethanol. The concentration of the dispersion and, in some cases, the spin speed were varied to control the resulting Nafion film thickness. Full details of the spin-coat recipes are provided in the Supporting Information. Samples were subsequently heated for ≥ 1 hr at 60°C under vacuum to ensure solvent removal, adhesion to the substrate, and consistent thermal history. After fabrication, wafers were installed in the controlled RH chamber, as described previously,[43] and NR was measured under $92.1 \pm 1.5\%$ RH and at $T = 29.6 \pm 0.2$ °C, with dry Ar vapor humidified by H₂O. Error bars and uncertainties throughout the manuscript represent plus or minus one standard deviation. For select samples, NR was also measured under 92.0% RH humidified by D₂O and/or under 0% RH (dry Ar vapor).

2.2 NR Fitting – NR measures the intensity of a reflected neutron beam as a function of grazing angle from the surface. Fitting NR data determines the 1-D depth profile (averaged in the plane of the film) of the scattering length density (SLD), which is the linear combination of the known bound coherent scattering lengths b_k [nm] of all isotopes k in the layer, multiplied by their number density n_k [1/nm³]:

$$SLD = \sum_k b_k n_k. \quad (1)$$

Unlike x-ray or electron probes, the neutron SLD can be relatively sensitive to light elements, and b_i varies greatly with the isotopes of certain elements. In particular, the large SLD contrast between water ($SLD_{\text{H}_2\text{O}} = -5.6 \times 10^{-5} \text{ nm}^{-2}$) and dry Nafion ($SLD_{\text{Nafion}} = 4.16 \times 10^{-4} \text{ nm}^{-2}$) and between H_2O and D_2O ($SLD_{\text{D}_2\text{O}} = 6.36 \times 10^{-4} \text{ nm}^{-2}$) allows for precise, accurate determination of the water concentration profile in Nafion.

In this study, humidified Nafion is modeled as a mixture of water and dried Nafion, where the SLD of the two-phase mixture at any given depth z is equal to a linear combination of the individual phase SLDs, weighted by their volume fractions:

$$SLD(z) = V_{\text{water}}(z)SLD_{\text{water}} + (1 - V_{\text{water}}(z))SLD_{\text{Nafion}}. \quad (2)$$

$(1 - V_{\text{water}}(z))$ is used because the volume fractions of the two phases sum to one. In this manner, the SLD profile fitted to the NR data provides the water V_{water} depth profile.

All sample names here take the form ‘tXX’, where the number XX is the sample’s equivalent Nafion thickness, t_{Naf} , i.e. the resulting thickness if all water were removed:

$$t_{\text{Naf}} = \sum_i (1 - V_{\text{water},i})t_i, \quad (3)$$

where t_i is the thickness and $V_{\text{water},i}$ is the water volume fraction of layer i , summed over all i layers in the model. For example, a sample with $t_{\text{Naf}} = 50 \text{ nm}$ is named ‘t50.’

NR data was fit using Refl1D,[70,81] with the SLD profile modeled as a series of layers with finite thickness, interfacial width and SLD. To allow for the higher SLDs associated with Nafion moiety phase segregation near the SiO_2 interface,[64] the water volume fraction V_{water} for any given layer was allowed to vary between -25 and 100%. Non-physical values ($V_{\text{water}} < 0$) are allowed during fitting to accurately match the SLD profile, but are replaced during analysis, as indicated below, with equivalent physical composition profiles.[63] To reduce the number of fitting parameters and eliminate those for which NR results showed limited sensitivity, the interfacial width at the Nafion- SiO_2 interface was set equal to either the value at the Si- SiO_2 interface or that of the inter-lamellar interfaces. For all samples, reduced $\chi^2 \leq 1.26$ were obtained, signifying excellent fits. In cases where multiple models provided suitable fits to the NR data, the Bayesian Information Criterion was used to discriminate between models.[64,82] For two samples (t103 and t120), an additional layer at the Nafion/vapor interface, roughly 3 nm thick, was required, as discussed below. For samples where NR was collected at both 92% and 0% RH, fits were constrained such that t_{Naf} was equal in both fits (i.e. water uptake values determined from layer SLD analysis and from swelling are equivalent).

2.3 Estimating Transport Properties in Thin-film Nafion and Lamellae – Estimating the transport properties of CL Nafion remains a challenge, due to the complex microstructure of the CL and to a limited number of direct transport measurements in thin-film Nafion for predictive modeling capabilities. To provide a first-order approximation of transport parameters in CL Nafion, a few basic assumptions are made. Because there have been no studies, to our knowledge, which calculate transport properties in Nafion interfacial lamellae as a function of water uptake or ionic domain structure, our *a priori* assumption is that the local ionic conductivity for thin-film Nafion obeys the dependence on λ that has been previously-determined for bulk-like samples:[43,83]

$$\sigma_{\text{io}} = (0.5139 \lambda - 0.326) \exp\left(1268 \left(\frac{1}{303} - \frac{1}{T}\right)\right), \quad (4)$$

where T is the temperature (302.75 K, here) and λ is the molar ratio of water to sulfonic acid. For “well mixed” Nafion (where the phase segregation is isotropic in nature), this is a function of the local water content:

$$\lambda = \frac{V_{\text{water}}}{1 - V_{\text{water}}} \frac{\rho_{\text{H}_2\text{O}}}{\rho_{\text{Nafion}}} \frac{EW_{\text{Nafion}}}{MW_{\text{H}_2\text{O}}}, \quad (5)$$

where ρ_m is the mass density of phase m (g/cm³), EW_{Nafion} is the polymer mass per mole of sulfonate (1100 g/mol in this study), and $MW_{\text{H}_2\text{O}}$ is the molecular weight of water (g/mol). In bulk Nafion, isotropic phase segregation implies a constant ratio of sulfonate to backbone groups, which allows for direct calculation of λ from V_{water} in Equation 5. Equations 2, 4, and 5 are used to calculate the conductivity for all layers other than the two adjacent to the SiO₂, using the fitted layer SLDs in Equation 2. Equation 4 is also used to calculate σ_{io} as a function of λ for the two layers adjacent to the SiO₂, but different approaches are used to calculate λ in these layers, depending on sample thickness. For $t_{\text{Naf}} < 60$ nm, phase segregation between the lamellae closest to the SiO₂ implies that the local $EW \neq 1100$ g/mol, as indicated by $V_{\text{water}} < 0$ for the second layer of these samples (Figure 3(b) below), which invalidates Equation 5. For these samples, λ for the two layers adjacent to the SiO₂ are derived directly from the moles of H₂O and SO₃H in the ‘atomistic profile’ in our previous publication.[64] This profile is derived from simultaneous fits to t_5 NR, humidified separately by H₂O and D₂O. For the two layers closest to the SiO₂ for $t_{\text{Naf}} \geq 60$ nm, large interface widths cause the SLDs of the profiles shown in Figure 3(c) to deviate from the fitted layer SLDs in the slab model. Therefore rather than using those fit parameters, the layer SLD used in Equation 2 is the average

minimum (first layer) or average maximum (second layer) layer SLD from the Figure 3(c) profiles, averaged over all samples where $t_{\text{nar}} \geq 60$ nm. The resulting V_{water} values are then used in Equation 5 to calculate λ values, for use in Equation 4.

Again, these assumptions are intended as a first-order approximation. They are most likely accurate for the bulk-like layers on samples with $t_{\text{nar}} \geq 60$ nm, where the ionic domain morphology is similar to that for bulk Nafion. For thinner layers, and regions with λ well outside the normal range, factors other than λ no doubt influence the value of σ_{io} , including ionic domain size and morphology (concentration of SO_3H groups in each layer, lamellae vs. other ionic cluster shapes, *etc.*), and the tortuosity of the ionic domain interconnections. More accurate functional relationships can and should be developed, in future studies, for enhanced predictive capabilities.

In the present work, we use the approximations in Equations 4 and 5 as a starting estimate, and use scaling factors to fit these to published data.[56] The conductivity of a stack of layers with varying V_{water} is non-isotropic. We therefore calculate effective conductivities in the surface normal (σ_{normal}) and surface parallel (σ_{parallel}) directions, as illustrated in Figure 1(b), for a range of expected conductivities with varying Nafion properties (thickness and interfacial lamellae). In the surface normal direction, transport is in series across the j layers in a film, and:

$$\sigma_{\text{normal}} = \frac{t_f}{\sum_j \frac{t_j}{\sigma_j}} \quad (6)$$

while the individual layers contribute in parallel in the surface-parallel direction:

$$\sigma_{\text{parallel}} = \frac{\sum_j \sigma_j t_j}{t_f}, \quad (7)$$

summed over all j layers in the film, where $t_f = \sum t_j$ is the total thickness of film f , and where the individual layer conductivities σ_j are calculated according to Equations 4 and 5 and scaled according to various models to fit experimental data, as described below. Pending better estimates, each layer's conductivity is assumed to be isotropic. Equations 6 and 7 establish a range of possible conductivity variations with varying thickness and interfacial structure, but more accurate relationships can and should be developed.

3. Results and Discussion

3.1 Neutron Reflectometry Data and Fits – Figure 2 shows the NR data (symbols) collected in H_2O -humidified vapor, along with the best fits (black lines) for each sample.

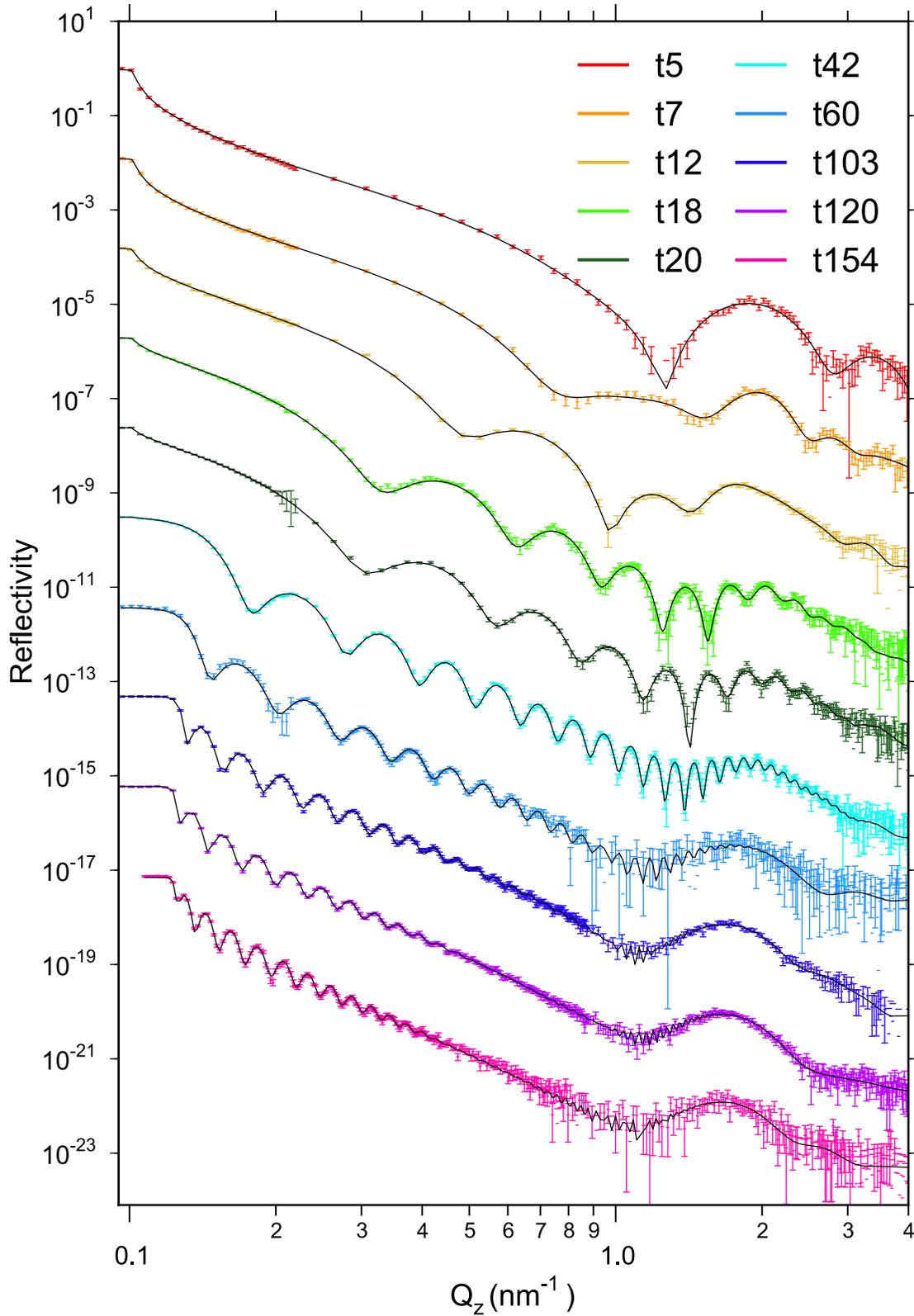


Figure 2. Reflectivity data (symbols) and best fits (black lines) for Nafion thin films with equivalent Nafion thicknesses ranging from $5 \text{ nm} \leq t_{\text{Naf}} \leq 154 \text{ nm}$. Error bars represent ± 1 standard deviation. Reflectivity curves are offset, with respect to the y-axis, for ease of visualization.

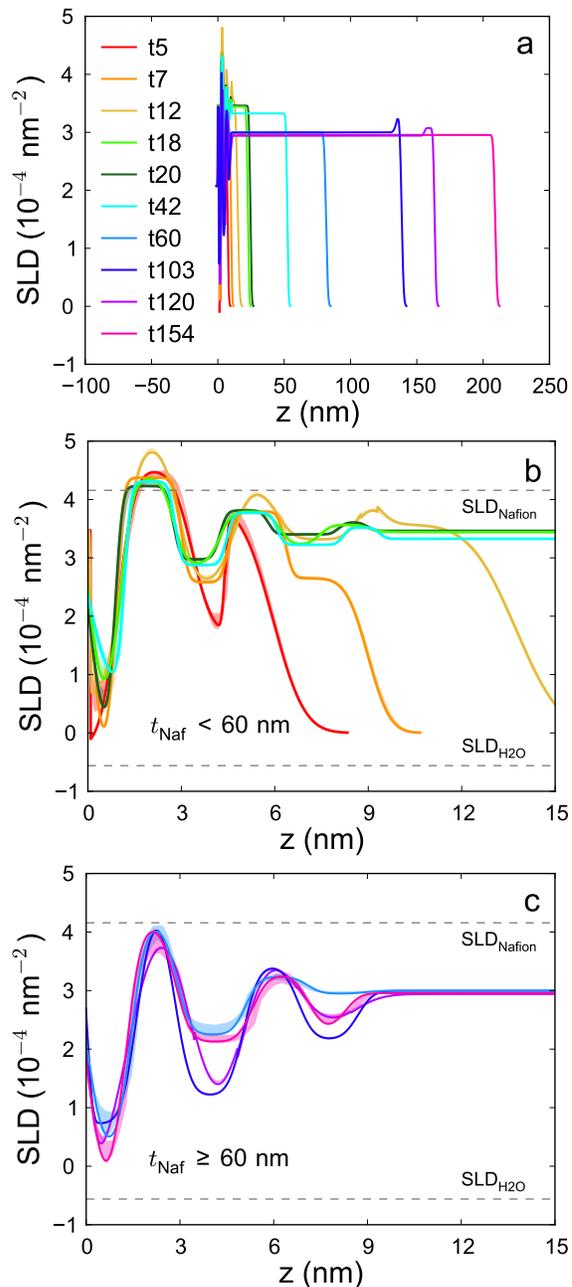


Figure 3. Nafion SLD profiles, including best fits (solid lines) and 68% confidence intervals (shaded regions). SLDs are interpreted as a weighted average of H₂O ($-0.56 \cdot 10^{-4} \text{ nm}^{-2}$) and Nafion 1100 ($4.17 \cdot 10^{-4} \text{ nm}^{-2}$), shown by the dashed lines in figures (b) and (c). (a) Full profiles, including lamellae ($z < 12$ nm) and outer layer ($z \geq 12$ nm). Outer layer water uptake increases with thickness for $t_{\text{Naf}} < 60$ nm, and is constant for $t_{\text{Naf}} \geq 60$ nm; (b) Focus on lamellar regions for $t_{\text{Naf}} < 60$ nm; (c) Focus on lamellar region for $t_{\text{Naf}} \geq 60$ nm. Results show higher water content in the lamellae for $t_{\text{Naf}} \geq 60$ nm.

Three trends are notable in the Figure 2 data. (i) As thickness increases, the period of the reflectivity oscillations (i.e. “Keissig fringes”) associated with the total film thickness decreases, due to the inverse relationship between thickness and the fringe period ΔQ_z . (ii) Beginning with t_7 , a broad peak centered at $Q_z = 2.0 \text{ nm}^{-1}$ forms. This peak, associated with the Nafion-SiO₂ interfacial lamellae, is more easily distinguished once the lamellar and total film thicknesses are appreciably different. (iii) For $t_{\text{Naf}} \geq 60$ nm, the lamellar peak height increases and the center shifts to $Q_z \sim 1.8 \text{ nm}^{-1}$, implying that the lamellae are thicker and more water rich.

Figure 3 provides a summary of the fitted SLD profiles, including the best fits (solid lines) and 68% confidence intervals (shaded regions) for the entire sample (Figure 3a) and for the lamellar region for $t_{\text{Naf}} < 60$ nm (Figure 3b), and $t_{\text{Naf}} \geq 60$ nm (Figure 3c). Figure 3a shows that the bulk-like layer SLD decreases with increasing thickness, up to $t_{\text{Naf}} = 60$ nm, consistent with the increasing water uptake observed previously.[61] In figure 3b, the first water-poor layer (centered at roughly $z = 17$ nm) has an SLD higher than that of dry Nafion, consistent with the phase segregation of the Nafion polymer moieties between the layers for ultrathin Nafion.[63] For $t_{\text{Naf}} \geq 60$ nm, (Figure 3c), these layers have SLDs less than or equal to that of dry Nafion, and in general have

lower SLDs and thicker water-rich lamellae than the profiles in figure 3b, providing evidence of greater water uptake in the lamellae for $t_{\text{Naf}} \geq 60$ nm. While $SLD \leq SLD_{\text{Nafion}}$ for all layers in Figure 3(c), phase segregation of the Nafion moieties is still likely, with the lower SLD due to greater water uptake than for the thinner samples in Figure 3(b).

Table S2 in the Supporting Information summarizes the NR fitting results, including reduced χ^2 , t_{Naf} , and water volume fractions (V_{water}) for the interfacial lamellae region, bulk-like layer, and overall sample average. Throughout the paper, numbers in brackets represent 68% confidence intervals, the calculation of which is described elsewhere.[81,84] Note that the $V_{\text{water, lamellae}}$ values assume that each layer consists of only water and Nafion 1100, as described by Equation 2. This approximation (necessary due to having data for only one water isotope) neglects the segregation of fluorocarbon and SO_3H groups, but has minimal impact on the value of $V_{\text{water, lamellae}}$ (on the order of 1–3%, when averaged over all lamellae).

Finally, for samples t103 and t120, suitable fits were obtained only after an additional layer was added at the free surface of the SLD profile, with equal likelihood of an SLD consistent with a fluorocarbon-rich (shown) or water-rich composition. As described in the Supporting Information, the fluorocarbon-rich skin is considered the more likely model, due to its consistency with previous reports in the literature.[23,85–88]

3.2 Water content of ultrathin Nafion films – Figure 4 shows the water volume fraction as

a function of film thickness, averaged over three different regions of the sample: (i) $V_{\text{water, lamellae}}$: the lamellar region, (ii) $V_{\text{water, bulk-like}}$: the bulk-like layer plus surface layer (when present), and (iii) $V_{\text{water, average}}$: the entire sample (i.e. regions i and ii). For samples with $t_{\text{Naf}} \leq 7$ nm, no bulk-like is present, and hence $V_{\text{water, lamellae}}$ (shown) equals $V_{\text{water, average}}$. The Nafion water uptake falls into three distinct regimes:

3.2.1 Truncated regime: For t5 and t7, the film consists entirely of lamellae, since the film is ‘truncated’ before it reaches a thickness where the bulk-like layer forms.

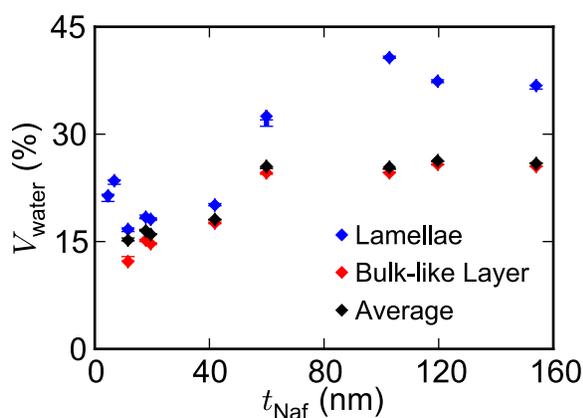


Figure 4. Water volume fraction as a function of equivalent Nafion thickness t_{Naf} , including the interfacial lamellae, bulk-like layer, and whole-film average. Results show increasing influence of the water-rich lamellae as the total film thickness decreases. Error bars represent 68% confidence intervals in the fits and do not include systematic error or sample-to-sample variations.

The lamellae are on average more water rich than for the subsequent “thin-film” regime, mainly due to a high water volume fraction in the water rich layers.

3.2.2 Thin-film regime: For $7 \text{ nm} < t_{\text{Naf}} < 60 \text{ nm}$, a thin bulk-like layer (which increases its water uptake with increasing thickness) is present between the lamellae and the vapor environment. The lamellar region contains 6 layers with a relatively constant thickness. The lamellar water uptake is lower than for the truncated regime, but also increases with thickness, remaining slightly higher than $V_{\text{water,bulk-like}}$.

3.2.3 Thick-film regime: For $t_{\text{Naf}} \geq 60 \text{ nm}$, both $V_{\text{water,lamellae}}$ and $V_{\text{water,bulk-like}}$ increase significantly, relative to the values in the other two regimes. While $V_{\text{water,bulk-like}}$ is relatively constant in this regime, $V_{\text{water,lamellae}}$ has significant scatter. It is unclear whether this scatter is real or whether it represents systematic uncertainty and/or sample-to-sample variability in fabrication and thermal history. As such, we refrain from physical interpretation of these variations, herein.

Comparing V_{water} in different regions of the sample in Figure 4 demonstrates the importance of depth profiles to explain the variations in water uptake with Nafion film thickness. Considering, first, the total water uptake, $V_{\text{water,average}}$, shows trends similar to previous studies which measured the water uptake of the sample as a whole. In those studies, V_{water} was constant above roughly 60 nm thickness, decreased with decreasing thickness between 20 nm and 60 nm, and then increased for films thinner than roughly 20 nm. While these trends may appear difficult to explain in terms of the *average* water uptake, considering the lamellae and bulk-like layer separately provides an explanation. As the sample thickness decreases below $t_{\text{Naf}} = 60 \text{ nm}$, the lamellae remain water rich (relative to the bulk-like layer). They also have a constant thickness, and therefore make up a greater fraction of the total sample, which tends to increase the average sample water content. For thicker bulk-like layers, the decrease in $V_{\text{water,bulk-like}}$ with decreasing thickness dominates over this effect. However, below roughly $t_{\text{Naf}} = 20 \text{ nm}$, the two effects roughly cancel ($V_{\text{water,average}}$ is roughly constant with thickness below 20 nm, in Figure 4). As thickness decreases further, for films with no bulk-like layer in the Lamellar regime, $V_{\text{water,average}}$ is increased, relative to those in the ‘thin-film’ regime. While not definitive, the increasing importance of the water-rich lamellae with decreasing film thickness offers one plausible explanation for the previously observed increase in water uptake with decreasing thickness for very thin Nafion.[57,59]

The transition between the thin- and thick-film regimes coincides with the point where the bulk-like layer thickness exceeds Nafion’s radius of gyration, R_g .

Measurements show $R_g = 40\text{--}50$ nm in PEMFC catalyst inks,[89] while $t_{\text{bulk-like}} = 41.8$ nm for sample t42 and 72.1 nm for sample t60. The reduced dimensional confinement in the thick-film regime bulk-like layer correlates with increased mobility and plasticity, enabling greater water uptake ($\lambda = 10$), equal to that observed in bulk Nafion 1100 membranes. This is consistent with previous results from Eastman, *et al.*, who found a “frustrated packing” of the ionic domains for $t_{\text{Naf}} < 60$ nm, where the domains are spaced further apart, less well-ordered, and contain less water than for $t_{\text{Naf}} \geq 60$ nm.[62]

The results demonstrate that the lamellar water uptake is influenced by interactions with both the substrate and the bulk-like layer (or with the vapor interface, in the truncated regime). In the truncated regime, $V_{\text{water, lamellae}}$ ranges from 21–24%. In the thin-film regime, when the bulk-like layer forms, $V_{\text{water, lamellae}}$ immediately drops to 16.7% [16.4, 16.9]%, and then both $V_{\text{water, lamellae}}$ and $V_{\text{water, bulk-like}}$ increase with increasing bulk-like layer thickness, up to R_g . In the thick-film regime, $V_{\text{water, bulk-like}}$ increases to a relatively constant $25.1 \pm 0.7\%$, but $V_{\text{water, lamellae}}$ increases by an even greater amount, and ranges from 31.1–40.9%. The large non-monotonic and discontinuous shifts in lamellar water uptake in the different regimes—despite a constant substrate, temperature, and vapor RH—demonstrate the influence of the bulk-like layer morphology and water uptake on that of the lamellae.

To better understand the relationship between the water uptake in the lamellar and bulk-like regions, the moving “local average” water content, $V_{\text{water, avg}}$, is plotted as a function of distance from the substrate in Figure 5, for the thin-film and thick-film regimes. Because the Nafion moieties phase-segregate between the layers in the lamellae,[64] the Nafion

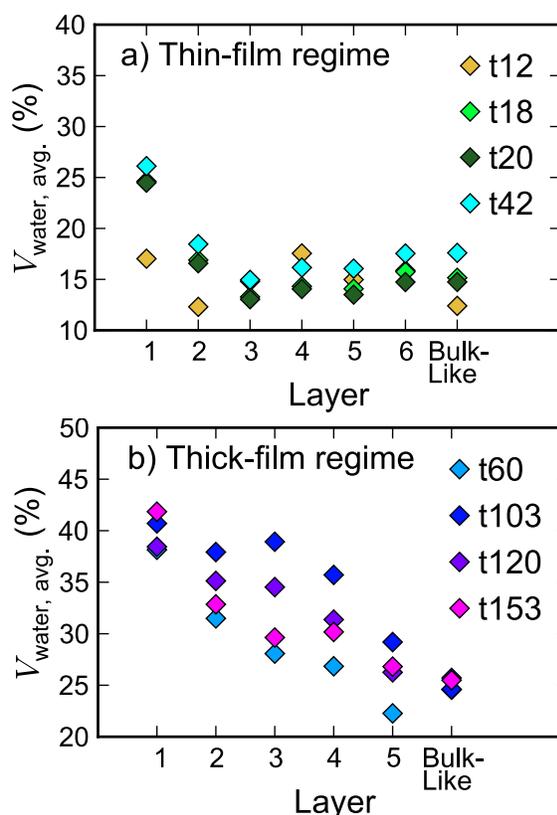


Figure 5. “Local average” water content in lamellae, as a function of layer number. This approach accounts for phase-segregation of Nafion moieties between the lamellae, as described in Eqs. S1–S3. (a) Thin-film regime. (b) Thick-film regime. Results reveal a shift in the water uptake profile between the thin-film and thick-film regimes, with V_{water} varying more continuously between the substrate and bulk-like layer in the thick-film regime.

stoichiometry (1100 EW) is only preserved when averaging over multiple neighboring layers. $V_{\text{water,avg}}$ in Figure 5 is calculated from the NR fits as the water content of a given layer, plus half of each of its nearest neighbors (with each contribution weighted by its thickness). Calculation details are given in the Supporting Information.

As seen in Figure 5(a), the local average water uptake in the thin-film regime equals that of the bulk-like layer for all but the 2 layers closest to the SiO_2 interface, thereby giving rise to the slight overall increase of the lamellar water uptake compared to the bulk-like layer in Figure 4. In the thick-film regime, the local water volume fraction decreases roughly continuously from $\sim 40\%$ at the SiO_2 interface to $\sim 24\%$ at the bulk-like layer. This may indicate either greater coupling between the lamellae and bulk-like layer in the thin film regime, or that the rate of decline in $V_{\text{water,avg}}$ near the SiO_2 substrate is similar for both the thin- and the thick-film regime (which starts with a higher water content).

3.3 Ionic Conductivity of Thin-film Nafion –These results emphasize the importance of *in situ* depth profiles in revealing not just *how much* water is retained in the film, but *how it is distributed*. While the exact transport mechanisms in thin-film CL Nafion remain poorly understood, it is well documented that ion conductivity in bulk Nafion is a non-linear function of the water content (due to the non-linear dependence of λ on V_{water} , described by Equation 5). If a similar dependence holds for thin-film Nafion, transport rates in the lamellae cannot be accurately estimated from the average water content of the film. For example, in the in-plane direction, where layer conductivities add in parallel, varying water uptake in the structure layers would clearly produce a different total conductivity than that estimated assuming a single layer with average water uptake. However, in the interfacial lamellae, the tortuosity and likely the internal structure of the ionic domains differ from bulk-like material, giving rise to a different dependence of conductivity on λ than represented in Equation 4. Even in layers where the ionic domain morphology is similar to bulk samples, dimensional confinement effects can depress the conductivity by restricting the mobility of the polymer chains.

To estimate structure-property relationships in thin-film Nafion, we use the NR results above to predict in-plane ionic conductivities and compare these to experimental results on similar thicknesses, measured and published by Karan and colleagues (Paul, *et al.* [56]). Relationships for bulk-like Nafion are used as a starting estimate for the thin-film conductivity, following Equations 4–7, as described in Section 2.3. These predictions (Supporting Information Figure S2) are greater at all thicknesses than the

measured values from Paul, *et al.*[56] The Figure S2 predictions reflect the influence of reduced water uptake, but additional phenomena result in further deviations from the bulk conductivity. To estimate the dependence of the conductivity on the sample structure, we propose four separate models for modifying the layer conductivities in Equation 4:

- Model 1 (1 parameter): The ionic conductivities for all layers in the sample are multiplied by a single scaling factor f_{film} .
- Model 2 (2 parameters): The conductivity of the bulk-like layer (and surface layer, if applicable) is multiplied by $f_{\text{bulk-like}}$, and the conductivities of the lamellae are multiplied by f_{lamellae} .
- Model 3 (2 parameters): The conductivity of the bulk-like layer (and surface layer, if present) is multiplied by $f_{\text{bulk-like}}$. Conductivity values for all other layers are multiplied by a linear gradient of scaling factors from $f_{\text{interface}}$ to $f_{\text{bulk-like}}$, such that the scaling factor of the i^{th} lamellae is:

$$f_{\text{lamellae},i} = \frac{N_{\text{lamellae}}-i}{N_{\text{lamellae}}} f_{\text{interface}} + \frac{i-1}{N_{\text{lamellae}}} f_{\text{bulk-like}}, \quad (8)$$

where N_{lamellae} is the total number of lamellae in the sample and i is the lamella number ($i = 1$ indicates the layer adjacent to the SiO₂ substrate).

- Model 4 (3 parameters): The conductivity of the bulk-like layer (and surface layer, if applicable) is multiplied by $f_{\text{bulk-like}}$. The conductivity for each lamella i is multiplied by a linear gradient of scaling factors from $f_{\text{lamellae,A}}$ to $f_{\text{lamellae,B}}$, such that the scaling factor of the i^{th} lamella is:

$$f_{\text{lamellae},i} = \frac{N_{\text{lamellae}}-i}{N_{\text{lamellae}}-1} f_{\text{lamellae,A}} + \frac{i-1}{N_{\text{lamellae}}-1} f_{\text{lamellae,B}}, \quad (9)$$

where N_{lamellae} is the total number of lamellae in the sample and i is the lamella number ($i = 1$ indicates the layer adjacent to the SiO₂ substrate).

The scaling factor gradients for Models 3 and 4 hypothesize that the relationship between λ and σ varies with the distance from the SiO₂ substrate, which is supported by previous classical MD simulations.[75] Closer to the SiO₂, water uptake departs significantly from that in the bulk-like layer, Nafion moieties phase segregate between layers, and layers are likely stiffer due to bonding to the SiO₂ substrate. Other models, which propose that the scaling factor adjacent to the SiO₂ substrate is different from that in all other layers, were considered but fit the data poorly. They are reported in the Supporting Information.

The scaling factors f_{film} , $f_{\text{bulk-like}}$, f_{lamellae} , $f_{\text{interface}}$, $f_{\text{lamella,A}}$, and $f_{\text{lamellae,B}}$ were fit to minimize the sum of squared residuals (SSR) between predicted and measured conductivities for each model; best fits are plotted in Figure 6. Model 4 provides the best fit (SSR = 0.001), with $f_{\text{lamellae,A}} = 0.225$, $f_{\text{lamellae,B}} = 0.503$, and $f_{\text{bulk-like}} = 0.672$. The fits imply that the scaling factor in the bulk-like layer is greater than that in the lamellae, and in the lamellae it varies as a function of proximity to the SiO₂ substrate (lower scaling factors, or greater deviation from eq. 4, closer to the substrate).

The results in Figure 6 therefore provide insight into thin film Nafion transport limitations, *via* reasonable conductivity values for sample layers. But the relevance for PEMFC performance is limited, because (i) the average conductivity at $T = 30^\circ\text{C}$ is not very sensitive to film thickness < 100 nm, and (ii) PEMFCs are typically run at $T > 30^\circ\text{C}$. While Model 4 clearly fits better than Model 2, for example, it is not clear whether this leads to significantly better predictive capabilities at relevant temperatures.

To explore the variation of thin-film Nafion conductivity as a function of thickness at elevated temperatures, activation energies were fit to the data from Paul, *et al.*[56] (described in detail in the Supporting Information), and used to extend the conductivity predictions for all four models to $T = 40, 50, \text{ and } 60^\circ\text{C}$. The results are shown in Figure 7, focusing on thicknesses below 100 nm. Results for Models 1 and 2 show significant discrepancies between the predicted and measured data at elevated temperatures, whereas Models 3 and 4 show excellent agreement with the measured data. Model 3 provides suitable qualitative fits at all temperatures and thicknesses, while Model 4 gives the best quantitative fit. Statistical analysis could determine whether the extra fitting parameter in Model 4 is justified by the lower SSR. However, attempting to discriminate between Models 3 and 4 obscures the larger conclusion: regardless of whether Model 3 or Model 4 is statistically better, the model fitting demonstrates that the ion conductivity is significantly limited in all layers (relative to that for bulk samples with similar water

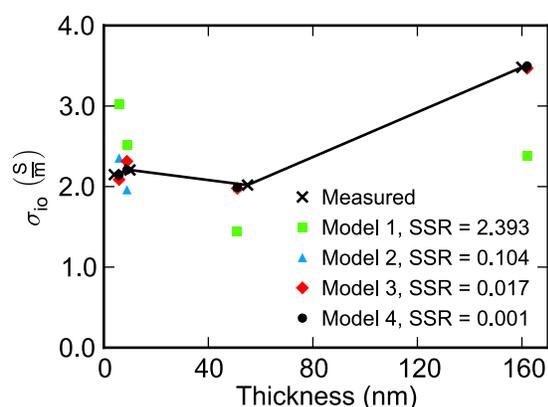


Figure 6. Measured (\times) and predicted (filled symbols) lateral conductivities for Nafion thin films at 30°C with varying thickness. Predicted σ_0 values come from NR composition profiles, using Equations 2, 4, 5, and 7, which are scaled according to one of four models for how confinement and the lamellar morphology affect ionic conductivity. SSR for each model represents the sum of squared residuals. Experimental data from Paul, *et al.*[56] Lines are drawn to connect experimental data points for ease of visualization.

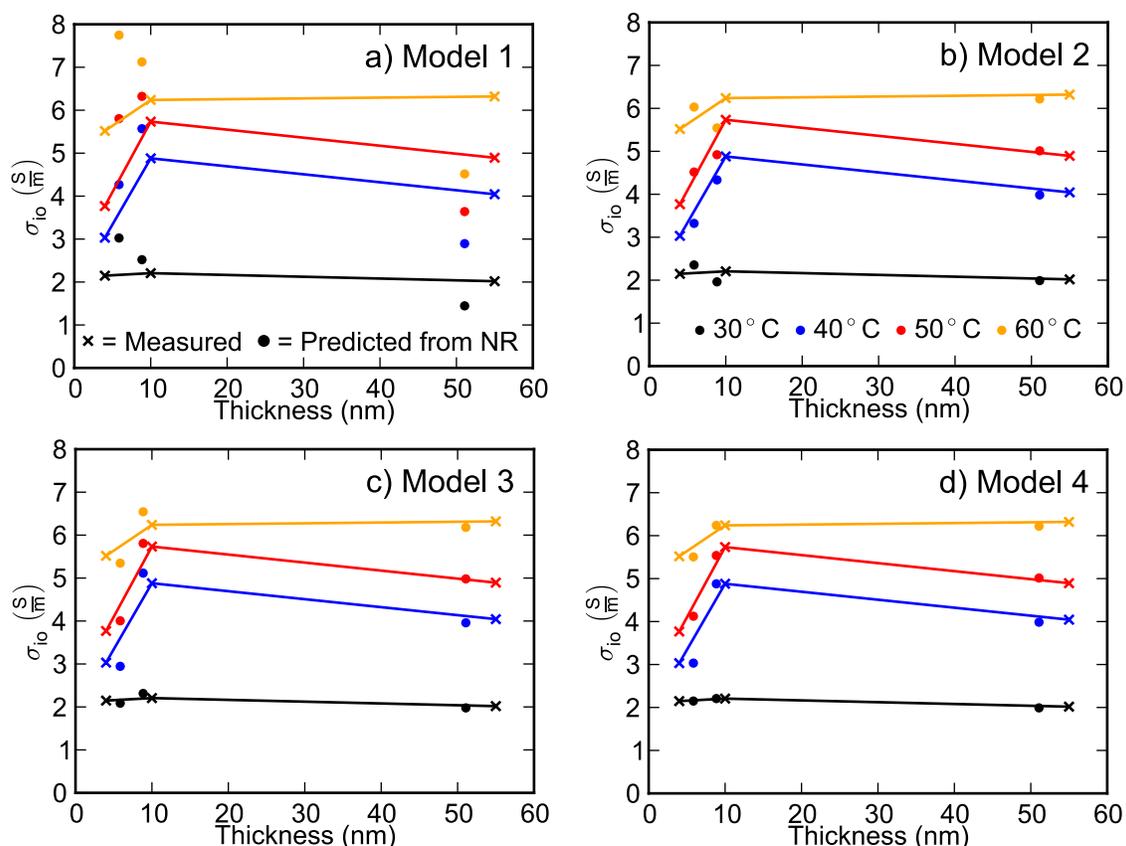


Figure 7. In-plane ionic conductivity as a function of temperature and film thickness, for the four conductivity models fitted to the experimental data in Figure 7. \times = Experimental data from Paul, *et al.*[56] with lines drawn to connect the data points for ease of visualization. \bullet = Prediction from NR. Results show that the insights from models 3 and 4, in which the ion mobility varies throughout the film thickness (both bulk-like layer vs. lamellae, and within the lamellae) are required to predict and explain conductivity trends with varying thickness at relevant operating temperatures.

content), and that the discrepancy is strongest at the substrate interface. Moreover, Figure 7 demonstrates that the scaling factors in Equations 8 and 9 lead to accurate conductivity predictions at elevated temperatures.

Figure 8 shows the individual layer conductivities and sample average conductivities σ_{parallel} and σ_{normal} at for Model 4 (Figure 7d) at $T = 50^\circ\text{C}$ for samples t5, t7, and t42. The layer conductivities help explain the measured conductivity trends with increasing thickness, and show the importance of the composition depth profile when predicting conductivity in the CL. For samples t5 and t7, both in the ‘truncated’ regime, we see very high conductivities in the water-rich lamellae, and very low conductivities in the water-poor lamellae. The major difference between samples t5 and t7 is that sample t7 has one additional water-rich layer, at the Nafion-vapor interface, whereas t5 terminates with a thin, very low conductivity ($\sigma_{i_0} = 0.46 \text{ S/m}$) layer. Hence, increasing the total

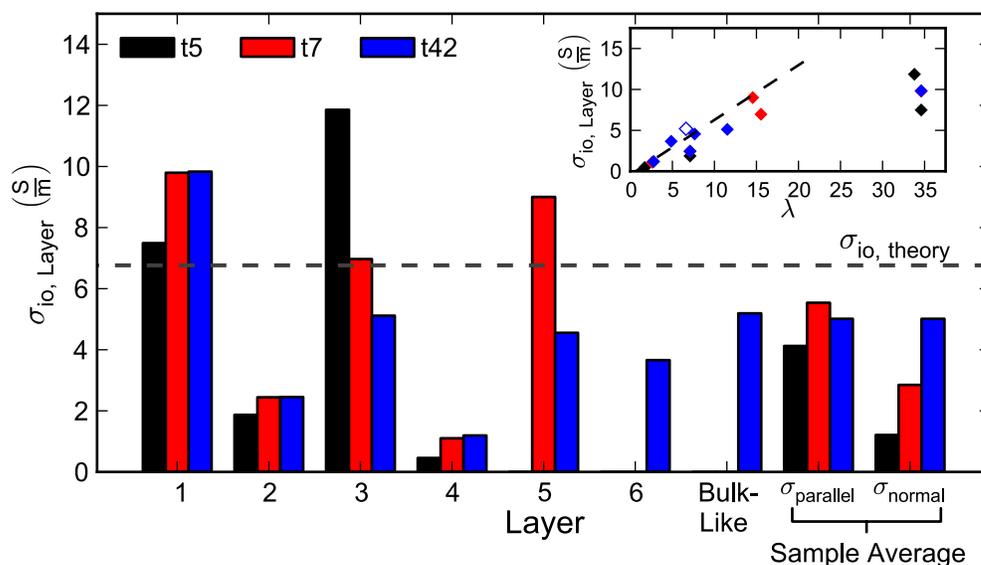


Figure 8. Layer conductivities for samples t5, t7, and t42, plus sample average conductivities σ_{parallel} and σ_{normal} , at $T = 50^\circ\text{C}$, calculated using Model 4, as described in the text. Results explain the non-monotonic conductivity trends with increasing thickness and the significant anisotropy in sample t5. $\sigma_{\text{io,theory}}$ is the conductivity for bulk Nafion membranes at RH = 92% and $T = 50^\circ\text{C}$. Inset shows layer conductivity vs. λ , the moles of water per mole of SO_3H for each layer. Results follow trends for bulk Nafion 1100[43] (dashed line) for low λ , are depressed slightly for moderate λ , and show limiting behavior for λ greater than roughly 16. Open symbol is for the bulk-like layer in sample t42.

thickness by just 2.2 nm is associated with large conductivity increases: σ_{parallel} increases by 1.46 S/m (35%) and σ_{normal} by 1.64 S/m (135%). In the ‘thin-film’ regime (sample t42), the water-rich layer adjacent to the SiO_2 retains a high conductivity, but the conductivities of the subsequent water-rich layers quickly decay toward that of the bulk-like layer, as their water content decreases. The water-poor lamellae conductivities for t42 are generally equal to those found in the ‘truncated’ regime. However, for t42 we observe that the sample average conductivities σ_{parallel} and σ_{normal} are roughly equal to (σ_{parallel}) or greater than (σ_{normal}) those found in the truncated regime, largely owing to the dominating influence of the thick bulk-like layer on the average conductivity values.

The Figure 8 inset plots the layer conductivity for each sample as a function of λ (symbols), along with the predicted conductivity for bulk Nafion 1100 in the range $0 \leq \lambda \leq 22$ (dashed line), as established by Equation 4.[43] The conductivity in the lamellae generally follows bulk sample trends vs. λ , over the range for which Equation 4 is valid, $\lambda < 16$. The conductivities closely match Equation 4 at low water uptake ($\lambda \leq 5$), are slightly depressed at moderate water uptake ($5 < \lambda \leq 16$), and show limiting behavior for very high water uptake ($\lambda > 16$). Regardless of their water uptake, the lamellae do not

exceed the conductivity for Nafion equilibrated with liquid water ($\lambda = 22$). These results explain the scaling factors used to fit the sample average conductivities in Figures 6 and 7 – reduced scaling factors near the substrate do not imply reduced mobility, but rather demonstrate that the empirical relationship between λ and σ (Equation 4) cannot be extrapolated beyond the λ range for which it has been validated ($\lambda \leq 22$). Moreover, segregation into water-rich and water-poor Nafion layers suppresses the sample average conductivity, relative to that calculated using the average water content.

We see, however, that for the bulk-like layer in the thin-film regime (i.e. sample t42, open symbol), the conductivity is roughly equal to the predicted value, based solely on its water content λ . While the bulk-like layer conductivity remains lower than that for bulk membranes at $T = 50^\circ\text{C}$ and $\text{RH} = 92\%$, it is consistent with the reduced water uptake in this layer, relative to bulk membranes, and therefore additional factors such as confinement are not required to explain the discrepancy in the conductivity values.

The presence of lamellae in CL Nafion may therefore impact PEMFC performance, due to varying transport limitations with varying agglomerate dimensions. The Nafion thicknesses explored above may represent the distance between carbon support particles within the agglomerate, or may represent the thickness of a Nafion ‘shell’ coating the agglomerate. While SiO_2 is not a technologically relevant substrate for the PEMFC CL, previous work shows that a smaller number of lamellae form on bare Pt substrates, and so the detailed characterization here provides quantitative insight into the effects of similar structures on Pt.[73–75] Furthermore, other factors such as Pt oxidation in the cathode or intentionally engineered surface functionalization of carbon support particles may lead to formation of lamellar regions more similar to those shown above. Finally, these results on a hydrophilic surface may have direct bearing on the enhanced conductivity observed in the presence of hydrophilic nanoparticle fillers.[90–93]

It is therefore worth considering, in the context of Figure 8, the ‘ideal’ Nafion thickness for PEMFC CL agglomerates. First, it bears repeating that transport within the agglomerates is a complex, three-dimensional process, and that transport will occur both parallel and normal to the substrate interface, as illustrated in Figure 1. The ideal ionomer, therefore, has high and largely isotropic conductivities (i.e. high values for both σ_{parallel} and σ_{normal}). Nafion thickness is also important: transport losses in the surface normal direction scale with the quotient $t_{\text{film}}/\sigma_{\text{normal}}$. Of the three samples in Figure 8, t42 has the highest σ_{normal} value, but the quotient $t_{\text{film}}/\sigma_{\text{normal}} = 1.02 \times 10^{-8} \text{ W}\cdot\text{m}^2$, whereas it is $3.6 \times 10^{-9} \text{ W}\cdot\text{m}^2$ for sample t5 and $2.1 \times 10^{-9} \text{ W}\cdot\text{m}^2$ for sample t7. Losses in the surface

parallel direction, meanwhile, scale with $1/(t_{\text{film}} \times \sigma_{\text{parallel}})$. This quotient is 5.61×10^7 W for t5, 3.09×10^7 W for t7, and 3.9×10^6 W for t42. While, it is not realistic to expect control of the ionomer thickness with sufficient precision or uniformity to differentiate between thicknesses corresponding to samples t5 and t7, for example, one might expect control on the level of the regimes. The results suggest that ionomer films in the ‘truncated’ regime will minimize transport losses normal to the lamellae, relative to those in the ‘thin-film’ regime (losses are a factor of 3 lower in t7 than in t42), but that ionomers in the ‘thin-film’ regime will minimize losses parallel to the lamellae (losses are a factor of 5 lower in t42 than in t7). Extending these predictions to continuum-level PEMFC CL simulations to directly determine implications for PEMFCs is the topic of a forthcoming publication from our group. Truly predictive simulations to guide PEMFC design will require enhanced characterization of the CL agglomerate microstructure and the morphology of the ionomer phase located therein.

These results also motivate better understanding of the ion transport properties of thin film Nafion as a function of the ionomer morphology and local water uptake (e.g., to replace Equations 4 and 5). The measurements and simulations herein provide one promising avenue to reveal such structure-property relationships. Because NR is capable of measuring length scales from 1.5 nm up to several 100 nm, all with sub-Ångström spatial resolution, NR results are directly relevant to both computational chemistry approaches (such as those demonstrated by Damasceno Borges, *et al.*[75]) and continuum-scale experiments and simulations.

4. Conclusions

For ionic copolymers in a range of established and emerging technologies, phase segregation at material interfaces influences structure-property relationships and device performance. This study demonstrates the importance of spatial morphology variations in thin films or coatings when determining average properties, and presents a means to deconvolute the effects of thickness, substrate, and chemical environment on thin-film copolymer properties. Via *in situ* NR of ultra-thin Nafion films with an equivalent thickness ranging from 5–153 nm, we observe three separate thickness regimes. In the truncated regime, the entire sample consists of lamellae. For thicker films, a non-lamellar bulk-like layer is added. The samples with a bulk-like layer were further differentiated into the thin-film regime with equivalent thickness ≤ 42 nm and hydrated thickness ≤ 51 nm and a thick-film regime with equivalent thickness ≥ 60 nm and hydrated thickness ≥ 81 nm. The transition between regimes roughly coincides with the point where the bulk-

like layer thickness exceeds the radius of gyration for thin-film Nafion. The bulk-like layer of the thick-film regime absorbs the same amount of water as in bulk membranes ($\lambda = 10$), whereas in the thin-film regime the water uptake of the bulk-like layer decreases with decreasing thickness. Results also demonstrate that water uptake in the lamellae is influenced by interactions with both the SiO₂ substrate and either the vapor interface or the bulk-like layer. Finally, detailed water uptake depth profiles provide an explanation for the previously observed increase in water uptake for films thinner than 20 nm.

The NR-fitted depth profiles are used to model the thin film ionic conductivity parallel to and normal to the substrate plane. Fitting to previously published experimental results demonstrates that, at length scales relevant to PEMFC CL agglomerates, lamellae can contribute to anisotropic transport limitations, such that small changes in ionomer thickness can lead to significant variations in the average ion conductivity. Additional work is required to establish the prevalence of the lamellar structures in PEMFC CL agglomerates and to precisely determine their impact on ion transport therein.

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Supporting Information Available: Supporting Information is available, and includes additional details regarding: (i) Nafion thin film fabrication, (ii) NR fitting results, (iii) Surface layers in the thick-film regime, (iv) Calculation of average lamellar water content as a function of distance from the substrate, (v) Predicted conductivities using bulk Nafion relationships, (vi) Alternative conductivity scaling models, and (vii) Calculation of activation energies for thin-film Nafion conductivity.

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